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Deliver to: Assistant Commissioner For Patents  
Firm Name: U.S. PATENT AND TRADEMARK OFFICE  
Fax Number: 703-872-9318 Telephone No.: \_\_\_\_\_  
From: Libby H. Hope  
Date: December 4, 2001 Time: \_\_\_\_\_  
Operator: Debbie Pelouquin Matter: 3801P001C3  
Number of pages including cover sheet: 21  
In Re Patent Application of: Hess, et al.  
Application No. 09/777,306  
Filed: February 5, 2001  
For: INFORMATION PRESENTATION AND MANAGEMENT IN AN ONLINE TRADING ENVIRONMENT  
Enclosed are the following documents: Supplemental Preliminary Amendment and Remark (14 pages), Amendment Transmittal, Fee Transmittal, and Certificate of Transmittal.

## CERTIFICATE OF TRANSMISSION

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PTO/SB/17(09-00)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL FOR FY 2002**TOTAL AMOUNT OF PAYMENT (\$) 90.00

Complete if Known:

Application No. 09/777,306  
 Filing Date February 5, 2001  
 First Named Inventor Hess et al.  
 Group Art Unit 2754  
 Examiner Name Not Yet Assigned  
 Attorney Docket No. 3801P001C3

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**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666  
 Deposit Account Name \_\_\_\_\_

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. \_\_\_\_\_ Payment Enclosed:

\_\_\_\_\_ Check  
 \_\_\_\_\_ Money Order  
 \_\_\_\_\_ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility application filing fee	_____
106	330	206	165	Design application filing fee	_____
107	510	207	255	Plant filing fee	_____
108	740	208	370	Reissue filing fee	_____
114	160	214	80	Provisional application filing fee	_____
SUBTOTAL (1) \$					_____

**2. EXTRA CLAIM FEES**

		Extra Claims	Fee from below	Fee Paid
Total Claims	_____	- 20** = _____	X _____	= _____
Independent Claims	_____	- 3** = _____	X _____	= _____
Multiple Dependent:	_____		_____	= _____

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				

Docket No.: 3801PC01C3  
 Application No.: 09/77,306

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	207	25	Surcharge - late provisional filing fee or cover sheet	
139	130	109	130	Non-English specification	
147	2,520	107	2,520	For filing a request for ex-parte reexamination	
099	8,800	009	8,800	Request for inter partes reexamination	
112	920*	102	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	103	1,840*	Requesting publication of SIR after Examiner action	
115	110	205	55	Extension for response within first month	
116	400	206	200	Extension for response within second month	
117	920	207	460	Extension for response within third month	
118	1,440	208	720	Extension for response within fourth month	
128	1,960	208	980	Extension for response within fifth month	
119	320	209	160	Notice of Appeal	
120	320	210	160	Filing a brief in support of an appeal	
121	280	211	140	Request for oral hearing	
138	1,510	108	1,510	Petition to institute a public use proceeding	
140	110	210	55	Petition to revive unavoidably abandoned application or unavoidably delayed payment of issue fee	
141	1,280	211	640	Petition to revive unintentionally abandoned application or unintentionally delayed payment of issue fee	
142	1,280	212	640	Utility issue fee (or reissue)	
143	460	213	230	Design issue fee	
144	620	214	310	Plant issue fee	
122	130	112	130	Petitions to the Commissioner	
123	50	113	50	Petitions related to provisional applications	
126	180	116	180	Submission of Information Disclosure Stmt	
581	40	511	40	Recording each patent assignment per property (times number of properties)	
146	740	216	370	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	740	219	370	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	740	219	370	Request for Continued Examination (RCE)	
169	900	119	900	Request for expedited examination of a design application	
Other fee (specify)		Presentation of additional claims			90.00
Other fee (specify)					

**SUBTOTAL (3) \$ 90.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name Libby H. HopeSignature: Date: 12/4/01Reg. Number: 46,774Telephone Number: 303-740-1980Docket No.: 3801P011C3  
Application No.: 09/777,306

PTO/SB/17(09-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL FOR FY 2002****TOTAL AMOUNT OF PAYMENT (\$)** 90.00**Complete If Known:**Application No. 09/777,306Filing Date February 5, 2001First Named Inventor Hess et al.Group Art Unit 2754Examiner Name Not Yet AssignedAttorney Docket No. 3801P001C3**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666  
 Deposit Account Name \_\_\_\_\_

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SUBTOTAL (2) \$					_____

Docket No.: 3801P001C3  
 Application No.: 09/777,306

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
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169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) <u>Presentation of additional claims</u>					<u>90.00</u>
Other fee (specify) _____					

**SUBTOTAL (3) \$ 90.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Libby H. HopeSignature: *Libby H. Hope*Date: 12/4/01Reg. Number: 46,774Telephone Number: 303-740-1980Docket No.: 3801P011C3  
Application No.: 09/777,306

Attorney's Docket No.: 3801P001C3 PatentIn re the Application of: Hess et al. (inventor(s))Application No.: 09/777,306Filed: February 5, 2001For: INFORMATION PRESENTATION AND MANAGEMENT IN AN ONLINE TRADING ENVIRONMENT

(title)

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

SIR: Transmitted herewith is an Amendment for the above application.

Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.

No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1) Claims Remaining After Amd.		(Col. 2) Highest No. Previously Paid For	(Col. 3) Present Extra
Total Claims	* 27	Minus	** 22	5
Indep. Claims	* 6	Minus	*** 6	
<input type="checkbox"/> First Presentation of Multiple Dependent Claim(s)				

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

SMALL ENTITY	
Rate	Additional Fee
X9	\$
X42	\$
+140	\$
Total Add. Fee	\$

OTHER THAN A SMALL ENTITY	
Rate	Additional Fee
X18	\$ 90.00
X84	\$ .00
+280	\$
Total Add. Fee	\$ 90.00

\_\_\_\_\_ A check in the amount of \$\_\_\_\_\_ is attached for presentation of additional claim(s).  
\_\_\_\_\_ Applicant(s) hereby Petition(s) for an Extension of Time of \_\_\_\_\_ month(s) pursuant to  
37 C.F.R. § 1.136(a).

\_\_\_\_\_ A check for \$\_\_\_\_\_ is attached for processing fees under 37 C.F.R. § 1.17.

X Please charge my Deposit Account No. 02-2666 the amount of \$ 90.00.


**A duplicate copy of this sheet is enclosed.**

X The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the  
following fees associated with this communication or credit any overpayment to Deposit Account  
No. 02-2666 (a duplicate copy of this sheet is enclosed):

X Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of  
extra claims.

X Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

  
\_\_\_\_\_  
Libby H. Hope

Reg. No. 46,774

Date: December 4, 2001

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